

#### § 4.9

#### 44 CFR Ch. I (10–1–15 Edition)

##### **§ 4.9 How does the Administrator receive and respond to comments?**

(a) The Administrator follows the procedures in § 4.10 if:

(1) A State office or official is designated to act as a single point of contact between a state process and all Federal agencies, and

(2) That office or official transmits a state process recommendation for a program selected under § 4.6.

(b)(1) The single point of contact is not obligated to transmit comments from State, areawide, regional or local officials and entities where there is no state process recommendation.

(2) If a state process recommendation is transmitted by a single point of contact, all comments from state, areawide, regional, and local officials and entities that differ from it must also be transmitted.

(c) If a State has not established a process, or is unable to submit a state process recommendation, State, areawide, regional and local officials and entities may submit comments to FEMA.

(d) If a program or activity is not selected for a state process, State, areawide, regional and local officials and entities may submit comments to FEMA. In addition, if a state process recommendation for a nonselected program or activity is transmitted to FEMA by the single point of contact, the Administrator follows the procedures of § 4.10 of this part.

(e) The Administrator considers comments which do not constitute a state process recommendation submitted under these regulations and for which the Administrator is not required to apply the procedures of § 4.10 of this part, when such comments are provided by a single point of contact, by the applicant or directly to FEMA by a commenting party.

##### **§ 4.10 How does the Administrator make efforts to accommodate inter-governmental concerns?**

(a) If a state process provides a state process recommendation to FEMA through its single point of contact, the Administrator either:

(1) Accepts the recommendation;

(2) Reaches a mutually agreeable solution with the state process; or

(3) Provides the single point of contact with such written explanation of the decision, as the Administrator in his or her discretion deems appropriate. The Administrator may also supplement the written explanation by providing the explanation to the single point of contact by telephone, other telecommunication, or other means.

(b) In any explanation under paragraph (a)(3) of this section, the Administrator informs the single point of contact that:

(1) FEMA will not implement its decision for at least ten days after the single point of contact receives the explanation; or

(2) The Administrator has reviewed the decision and determined that, because of unusual circumstances, the waiting period of at least ten days is not feasible.

(c) For purposes of computing the waiting period under paragraph (b)(1) of this section, a single point of contact is presumed to have received written notification 5 days after the date of mailing of such notification.

##### **§ 4.11 What are the Administrator's obligations in interstate situations?**

(a) The Administrator is responsible for:

(1) Identifying proposed Federal financial assistance and direct Federal development that have an impact on interstate areas;

(2) Notifying appropriate officials and entities in states which have adopted a process and which select FEMA's program or activity;

(3) Making efforts to identify and notify the affected State, areawide, regional, and local officials and entities in those States that have not adopted a process under the Order or do not select FEMA's program or activity;

(4) Responding pursuant to § 4.10 of this part if the Administrator receives a recommendation from a designated areawide agency transmitted by a single point of contact, in cases in which the review, coordination, and communication with FEMA have been delegated.

(b) The Administrator uses the procedures in § 4.10 if a state process provides a state process recommendation

to FEMA through a single point of contact.

**§ 4.12 How may a State simplify, consolidate, or substitute federally required State plans?**

(a) As used in this section:

(1) *Simplify* means that a State may develop its own format, choose its own submission date, and select the planning period for a State plan.

(2) *Consolidate* means that a State may meet statutory and regulatory requirements by combining two or more plans into one document and that the State can select the format, submission date, and planning period for the consolidated plan.

(3) *Substitute* means that a State may use a plan or other document that it has developed for its own purposes to meet Federal requirements.

(b) If not inconsistent with law, a State may decide to try to simplify, consolidate, or substitute federally required state plans without prior approval by the Administrator.

(c) The Administrator reviews each state plan that a State has simplified, consolidated, or substituted and accepts the plan only if its contents meet Federal requirements.

**§ 4.13 May the Administrator waive any provision of these regulations?**

In an emergency, the Administrator may waive any provision of these regulations.

**PART 5—PRODUCTION OR DISCLOSURE OF INFORMATION**

**Subpart A—General Provisions**

Sec.

- 5.1 Scope and purposes of part.
- 5.2 Application.
- 5.3 Definitions.
- 5.4 Availability of records.
- 5.5 Exemptions.
- 5.6 Congressional information.
- 5.7 Records of other agencies.
- 5.8 Records involved in litigation or other judicial process.

- 5.9 Inconsistent issuances of FEMA and its predecessor agencies superseded.

**Subpart B—Publication of or Availability of General Agency Information, Rules, Orders, Policies, and Similar Material**

- 5.20 Publication of rules and general policies.
- 5.21 Effect of failure to publish.
- 5.22 Coordination of publication.
- 5.23 Incorporation by reference.
- 5.24 Availability of opinions, orders, policies, interpretations, manuals, and instructions.
- 5.25 Available materials.
- 5.26 Rules for public inspection and copying.
- 5.27 Deletion of identifying details.
- 5.28 Indexes.
- 5.29 Effect of failure to make information materials available.

**Subpart C—Fees**

- 5.40 Copies of FEMA records available at a fee.
- 5.41 FEMA publications.
- 5.42 Fees to be charged—categories of requesters.
- 5.43 Waiver or reduction of fees.
- 5.44 Prepayment of fees.
- 5.45 Form of payment.
- 5.46 Fee schedule.
- 5.47 Appeals regarding fees.

**Subpart D—Described Records**

- 5.50 General.
- 5.51 Submission of requests for described records.
- 5.52 Review of requests.
- 5.53 Approval of request.
- 5.54 Denial of request of records.
- 5.55 Appeal within FEMA of denial of request.
- 5.56 Extension of time limits.
- 5.57 Predisclosure notification procedures for confidential commercial information.
- 5.58 Exhaustion of administrative remedies.
- 5.59 Judicial relief available to the public.
- 5.60 Disciplinary action against employees for “arbitrary or capricious” denial.
- 5.61 Contempt for noncompliance.

**Subpart E—Exemptions**

- 5.70 General.
- 5.71 Categories of records exempt from disclosure under 5 U.S.C. 552.
- 5.72 Executive privilege exemption.

**Subpart F—Subpoenas or Other Legal Demands for Testimony or the Production or Disclosure of Records or Other Information**

- 5.80 Scope and applicability.